IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Wu, Tzyy-Choou et al.

Application No: 10/555,669

International Filing Date: May 5, 2004

For: ANTI-CANCER DNA VACCINE

EMPLOYING PLASMIDS ENCODING SIGNAL SEQUENCE, MUTANT ONCO-

PROTEIN ANTIGEN, AND HEAT

SHOCK PROTEIN

Art Unit: To be Determined

Confirmation No.: 9879

Examiner: To be Determined

Docket No. JHV-050.01

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that the foregoing documents are being deposited with the United States Postal Service as First Class Mail, in an envelope addressed to Mail Stop PCT (DO/EO/US), Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450, on this date of March 19, 2007.

John Barretto

PRELIMINARY AMENDMENT

Mail Stop PCT (DO/EO/US) Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir/Madam:

Prior to substantive examination of the above-referenced patent application, please amend the application as follows:

Amendments to the specification begin on page 2 of this paper.

Remarks begin on page 3 of this paper.